

3) PRIOR CARRIER INFORMATION (List last 5 years)

	YEAR __ __	YEAR __ __	YEAR __ __	YEAR __ __	YEAR __ __
A) GENERAL LIABILITY					
CARRIER					
POLICY NO.					
POLICY TYPE	<input type="checkbox"/> CM <input type="checkbox"/> OCC	<input type="checkbox"/> CM <input type="checkbox"/> OCC	<input type="checkbox"/> CM <input type="checkbox"/> OCC	<input type="checkbox"/> CM <input type="checkbox"/> OCC	<input type="checkbox"/> CM <input type="checkbox"/> OCC
RETROACTIVE DATE					
POLICY LIMITS: OCCURRENCE					
GEN. AGGREGATE					
PREMIUM					
SIR OR DED					
EXPENSE WITHIN POLICY LIMIT?	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
B) PRODUCTS LIABILITY					
CARRIER					
POLICY NO.					
POLICY TYPE	<input type="checkbox"/> CM <input type="checkbox"/> OCC	<input type="checkbox"/> CM <input type="checkbox"/> OCC	<input type="checkbox"/> CM <input type="checkbox"/> OCC	<input type="checkbox"/> CM <input type="checkbox"/> OCC	<input type="checkbox"/> CM <input type="checkbox"/> OCC
RETROACTIVE DATE					
POLICY LIMITS: OCCURRENCE					
PROD. AGGREGATE					
PREMIUM					
SIR OR DED					
EXPENSE WITHIN POLICY LIMIT?	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO

- C) HAS ANY INSURER EVER CANCELLED, RESTRICTED OR REFUSED TO RENEW YOUR POLICY OR ANY COVERAGE IN THE PAST 5 YEARS? YES NO
 IF YES, PLEASE EXPLAIN: _____
- D) HAS ANY PRODUCT, WORK, ACCIDENT OR LOCATION BEEN EXCLUDED, UNINSURED OR SELF-INSURED FROM ANY PREVIOUS COVERAGE? YES NO
 IF YES, PLEASE EXPLAIN: _____

4) CLAIMS HISTORY – CURRENT PLUS LAST FIVE YEARS - OR MORE (LOSS RUNS MUST BE FURNISHED)

A) TOTAL AGGREGATES LOSSES, INCLUDING DEFENSE COSTS:

POLICY PERIOD	NO. OF CLAIMS	TOTAL AMOUNTS PAID		AMOUNTS IN RESERVE		VALUATION DATE
		IND	EXP	IND	EXP	
_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____

B) DESCRIBE INDIVIDUAL LOSSES, VALUED \$25,000 OR MORE, INCLUDING DEFENSE COSTS:

- C) ARE YOU AWARE OF ANY OTHER OCCURRENCES, INCIDENTS, CONDITIONS, DEFECTS OR SUSPECTED DEFECTS THAT MAY RESULT IN CLAIMS AGAINST YOU? YES NO
 IF YES, GIVE DETAILS: _____

5) SPECIFIED PRODUCTS AND COMPLETED OPERATIONS

A) ONLY THOSE PRODUCTS AND SERVICES SPECIFIED BELOW WILL BE CONSIDERED FOR COVERAGE. REFER TO KEY BELOW

PRODUCTS (SPECIFIC CATEGORY)	APPLICANT ACTS AS AN A/AN					NO. OF YEARS	% GROSS SALES	DOES APPLICANT		PRODUCTS SOLD TO				
	M	W	R	I	MR			INSTALL	REPAIR SERVICE	W	R	MC	C	O

M = MANUFACTURER R = RETAILER MR = MANUFACTURER'S REP OTHER (SPECIFY)
W = WHOLESALER I = IMPORTER C = CONSUMER-DIRECT

- B) HAVE YOU DISCONTINUED OR ARE YOU CONSIDERING DISCONTINUING ANY PRODUCT TO BE COVERED BY THIS INSURANCE? YES NO

IF YES, PLEASE DESCRIBE: _____
- C) ARE ANY NEW PRODUCTS PLANNED FOR SALE DURING THE NEXT 12 MONTHS?
- D) DO YOU IMPORT COMPONENT PARTS?
- E) DO YOU EXPORT PRODUCTS OR HAVE FOREIGN OPERATIONS?
- F) ARE ANY OF YOUR PRODUCTS OR SERVICES KNOWN TO BE USED IN CONNECTION WITH AIRCRAFT/MISSILES/AEROSPACE?
- G) ARE ANY OF YOUR PRODUCTS OR SERVICES SUBJECT TO REGISTRATION/REGULATION/REVIEW BY ANY GOVERNMENTAL AGENCY?

PLEASE EXPLAIN ANY "YES" ANSWERS: _____

6) SALES HISTORY

A) TOTAL SALES OR RECEIPTS FOR ALL PRODUCTS AND SERVICES
PAST 12 MONTHS \$ _____ 1ST PRIOR YR. \$ _____ 2ND PRIOR YR. \$ _____
3RD PRIOR YR. \$ _____ 4TH PRIOR YR. \$ _____ 5TH PRIOR YR. \$ _____
DESCRIBE ANY SIGNIFICANT CHANGE IN PRODUCT SALES MIX BETWEEN ANY PRIOR YEAR AND NEXT YEAR'S PROJECTION:

- B) DO YOU WISH TO PROVIDE YOUR CUSTOMERS WITH VENDORS COVERAGE? YES NO

7) PROCESSING, QUALITY CONTROL AND RECORDKEEPING

- A) DO OTHERS MANUFACTURE, ASSEMBLE, PACKAGE OR INSTALL PRODUCTS UNDER YOUR NAME OR LABEL?
- B) DO YOU MANUFACTURE, ASSEMBLE, PACKAGE OR INSTALL PRODUCTS FOR OTHERS UNDER THEIR NAME OR LABEL?
PLEASE EXPLAIN ANY "YES" ANSWERS: _____
- C) ARE WRITTEN QUALITY CONTROL AND TESTING PROCEDURES FOLLOWED?
- D) HOW LONG ARE QUALITY CONTROL AND TESTING RECORDS KEPT? _____
ARE YOU REQUIRED TO FILE THE TEST RESULTS WITH ANY REGULATORY BODY?
- E) CAN YOU IDENTIFY YOUR PRODUCT FROM THOSE OF COMPETITORS?
HOW? _____
- F) DO YOUR RECORDS INDICATE WHEN EACH PRODUCT WAS MANUFACTURED?
- G) DO YOUR RECORDS SHOW TO WHOM AND THE DATE EACH PRODUCT WAS SOLD?
- H) DO YOUR RECORDS SHOW WHO SUPPLIED THE COMPONENT PARTS GOING INTO YOUR PRODUCTS?
- I) DO YOU REQUIRE CERTIFICATES FROM YOUR SUPPLIERS EVIDENCING PRODUCTS LIABILITY INSURANCE?
PLEASE EXPLAIN ANY "NO" ANSWERS: _____

8) LOSS PREVENTION, LOSS CONTROL, CLAIM DEFENSE		YES	NO
A)	WHO DESIGNS YOUR PRODUCTS? _____ DO YOU REQUIRE CERTIFICATES EVIDENCING DESIGN OR ARCHITECTS AND ENGINEERS ERRORS AND OMISSIONS INSURANCE?	<input type="checkbox"/>	<input type="checkbox"/>
B)	ARE DESIGNS REVIEWED, TESTED AND VERIFIED BY OTHERS?	<input type="checkbox"/>	<input type="checkbox"/>
C)	DO YOU MAINTAIN RECORDS OF CHANGES IN DESIGNS, ADVERTISEMENTS AND SALES BROCHURES?	<input type="checkbox"/>	<input type="checkbox"/>
D)	ARE ALL INSTRUCTIONS, OPERATING MANUALS, ADVERTISEMENTS AND WARRANTIES PERIODICALLY REVIEWED BY LEGAL COUNSEL TO AVOID MISUNDERSTANDINGS RELATIVE TO PRODUCT SAFETY OR INTENDED USE? HOW OFTEN? _____	<input type="checkbox"/>	<input type="checkbox"/>
E)	ARE YOUR PRODUCTS DESIGNED, TESTED, LABELED AND MANUFACTURED TO MEET OR EXCEED ALL APPLICABLE GOVERNMENT AND INDUSTRY STANDARDS?	<input type="checkbox"/>	<input type="checkbox"/>
F)	DO YOU EVER DRAW PLANS, DESIGNS OR SPECIFICATIONS FOR ANY PRODUCT (S) FOR OTHERS? IF YES, DO YOU CARRY DESIGN OR ARCHITECTS AND ENGINEERS ERROR AND OMISSIONS INSURANCE?	<input type="checkbox"/>	<input type="checkbox"/>
G)	HAVE YOU SOLD ANY BUSINESS IN WHICH YOU RETAINED LIABILITIES? IF SO, PLEASE FURNISH DETAILS INCLUDING LIST OF PRODUCTS MANUFACTURED, ASSEMBLED, PACKAGED OR INSTALLED BY YOU PRIOR TO THE DATE SOLD: _____	<input type="checkbox"/>	<input type="checkbox"/>
H)	DO YOU HAVE A SPECIFIC PROGRAM TO WITHDRAW KNOWN OR SUSPECTED DEFECTIVE PRODUCTS FROM THE MARKET?	<input type="checkbox"/>	<input type="checkbox"/>
I)	HAVE YOU EVER RECALLED (EITHER VOLUNTARILY OR INVOLUNTARILY) OR ARE YOU CONSIDERING RECALLING ANY KNOWN OR SUSPECTED DEFECTIVE PRODUCTS FROM THE MARKET? IF YES, PLEASE FURNISH DETAILS: _____	<input type="checkbox"/>	<input type="checkbox"/>
J)	DO YOU FURNISH ANY GUARANTEES, WARRANTIES, OR HOLD HARMLESS AGREEMENTS? IF YES, PLEASE FURNISH DETAILS: _____	<input type="checkbox"/>	<input type="checkbox"/>
K)	LIST YOUR MEMBERSHIPS IN ANY INDUSTRY PRODUCT-STANDARD ORGANIZATIONS (EX: ISO 9000): _____		

9) GENERAL INFORMATION		YES	NO
A)	ANY EXPOSURE TO FLAMMABLES, EXPLOSIVES, CHEMICALS?	<input type="checkbox"/>	<input type="checkbox"/>
B)	ANY EXPOSURE TO RADIOACTIVE/NUCLEAR MATERIALS?	<input type="checkbox"/>	<input type="checkbox"/>
C)	DO OPERATIONS INVOLVE STORING, TREATING, DISCHARGING, APPLYING, DISPOSING, OR TRANSPORTING OF HAZARDOUS MATERIALS? (E.G., LANDFILLS, WASTES, FUEL TANKS, ETC)	<input type="checkbox"/>	<input type="checkbox"/>
D)	ANY MACHINERY OR EQUIPMENT LOANED OR RENTED TO OTHERS?	<input type="checkbox"/>	<input type="checkbox"/>
E)	ANY MEDICAL FACILITIES PROVIDED OR DOCTORS EMPLOYED/CONTRACTED?	<input type="checkbox"/>	<input type="checkbox"/>
F)	IS A FORMAL SAFETY PROGRAM IN OPERATION?	<input type="checkbox"/>	<input type="checkbox"/>
G)	ANY WATERCRAFT, DOCKS, FLOATS OWNED, HIRED OR LEASED?	<input type="checkbox"/>	<input type="checkbox"/>
H)	ANY SPORTING OR SOCIAL EVENTS SPONSORED?	<input type="checkbox"/>	<input type="checkbox"/>
I)	ARE CERTIFICATES OF INSURANCE REQUIRED FROM ALL SUBCONTRACTORS?	<input type="checkbox"/>	<input type="checkbox"/>
J)	DO YOUR SUBCONTRACTORS CARRY COVERAGES OR LIMITS LESS THAN YOURS?	<input type="checkbox"/>	<input type="checkbox"/>
K)	ANY HOISTS, CRANES OR MOBILE EQUIPMENT OWNED, OPERATED, MAINTAINED OR USED IN YOUR OPERATIONS?	<input type="checkbox"/>	<input type="checkbox"/>
	EXPLAIN ALL "YES" RESPONSES: _____		

10) DESIRED PROGRAM
 LIMITS OF INSURANCE REQUESTED
 GENERAL AGGREGATE _____ \$ _____
 PRODUCTS AND COMPLETED OPERATIONS AGGREGATE: _____ \$ _____
 EACH OCCURRENCE: _____ \$ _____
 PERSONAL INJURY AND ADVERTISING LIMIT: _____ \$ _____
 FIRE DAMAGE (ANY ONE FIRE): _____ \$ _____
 MEDICAL EXPENSES: _____ \$ _____
 SELF-INSURED RETENTION/DEDUCTIBLE (PER OCCURRENCE OR OFFENSE) _____ \$ _____

 NAME AND ADDRESS OF INSURED'S CLAIMS ADJUSTMENT SERVICE

SCHEDULE OF HAZARDS

CLASSIFICATION	CLASS CODES	PREMIUM BASIS
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APPLICABLE IN KENTUCKY, NEW YORK STATE, AND OHIO

NOTICE TO KENTUCKY, NEW YORK AND OHIO APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT ACT, WHICH IS A CRIME AND IN NEW YORK PUNISHABLE BY A FINE OF UP TO \$5,000.

 APPLICANT'S SIGNATURE AND TITLE

 DATE

 PRODUCER'S SIGNATURE AND TITLE

 DATE